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|   | Vidas, Arr tt & Steinkraus  | Atty Docket No.   Sea 2 0967      |  |   |  |
|---|---|-----------------------------------|--|---|--|
|   | Utility Patent Application  | Atty. Docket No<br>First Inventor |  | S63.2-9867<br>Bashiri                     |  |
|   | Transmittal   |                                   | Ralloon E                              | xpandable/Self Expanding Stent            |  |
|   | !   | Express Mail Lat                  | bel No.                                | EL813908595US                             |  |
|   | Applicati n El m nts  | Addr sT:                          | 1                                      | Commissioner for Patents                  |  |
|   | , , , , , , , , , , , , , , , , , , ,   | 1                                 | J                                      | Box Patent Application                    |  |
|   |   | · .                               |  | Washington, DC 20231                      |  |
| 1.  |   |                                   |  | Pages 2                                   |  |
| 2 🗀   | Check Included  |                                   |  |   |  |
| 2. ☐<br>3. ☒  | Applicant claims small entity status Specification (including 0pg cover sheet, 15pg description, Pages 21 |                                   |  |   |  |
| v. Д  | 5pg claims and 1pg abstract)  | er sneet, ropy des                | scription,                             | Pages 21                                  |  |
| 4. 🛛  | Drawings  |                                   |  | Pages 12                                  |  |
| 5.  | Oath or Declaration   | Pages 3                           |  |   |  |
|   | a. ⊠ Newly executed (original or copy)  |                                   |  |   |  |
|   | b. Copy from a prior application (37 CFR 1.63(d)  |                                   |  |   |  |
|   | (for continuation/divisional  | with Box 19 comp                  | leted)                                 | _   |  |
|   | i. Deletion of Inventor(s) – signed statement attached Pages  |                                   |  |   |  |
| 6. 🛛  | deleting inventors named in the p Application Data Sheet  | prior application                 |  | Donne 4                                   |  |
| 7. 🔯  | Assignment Papers (cover sheet & documents and check)   |                                   |  | Pages 1                                   |  |
| ٠٠ ح  | Previously recorded on  |                                   | ames                                   | Pages 4                                   |  |
| 8. 🛛  | Power of Attorney   |                                   |  | Pages 1                                   |  |
| _   | ☐ 37 C.F.R. 3.73(b) Statement (when there is an assignee)   |                                   |  | ) Pages                                   |  |
| 9.  | English Translation Document  |                                   |  | Pages                                     |  |
| 10. 🔲   | Information Disclosure Statemen   |                                   |  | Pages                                     |  |
|   |   | rences)                           |  | _   |  |
| 11.   | Preliminary Amendment   |                                   |  | Pages                                     |  |
| 12. ⊠<br>13. □  | Return Receipt Postcard   | - <b>4</b>                        |  | Pages 1                                   |  |
| 13.<br>14.  | Certified Copy of Priority Docume<br>Nonpublication Request   | ent                               |  | Pages                                     |  |
| 15.   | Constructive Petition   |                                   |  | Pages 1                                   |  |
| 16. 🖂   | Limited Authorization   |                                   |  | Pages 1<br>Pages 1                        |  |
| 17.   | VAS Utility Patent Application Tra  | ansmittal                         |  | Pages 1                                   |  |
| 18. 🔲   | Other   |                                   |  | Pages                                     |  |
|   |   |                                   |  | •   |  |
| 19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and  |   |                                   |  |   |  |
| in a preliminary amendment or in an Application Data Sheet  |   |                                   |  |   |  |
|   | tinuction Divisional D Cont   | incetton in nest                  | Of males                               |   |  |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part Of prior application no.   |   |                                   |  |   |  |
| Prior Application Information: Examiner Group Art Unit  |   |                                   |  |   |  |
| •   | •   | ·                                 |  |   |  |
| For CO  | NTINUATION OR DIVISIONAL APP  | S only: The entire                | disclosur                              | re of the prior application, from an oath |  |
| or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. |   |                                   |  |   |  |
|   |   | hereby incorporate                | ed by rete                             | erence.                                   |  |
| 20. 10  | TAL NUMBER OF PAGES 48  | SECONOLINA                        | ************************************** |   |  |
|   | <sup>1</sup> 21. CUP  | RRESPONDENCE                      | . ADDKE:                               | SS .                                      |  |
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|   |   | PATENT TRADEMARK OFFICE           |  |   |  |
| INSERT CUSTOMER NUMBER LABEL ABOVE  |   |                                   |  |   |  |
| Name James M. Urzedowski, Reg. No. P-48,596   |   |                                   |  |   |  |
| Signature Date 8/31/2001  |   |                                   |  |   |  |
|   | 100-  |                                   |  |   |  |



## Modified PTO/SB/17 (11-00) FEE TRANSMITTAL Complete if Known Application Number Not yet assigned for FY 2001 Filing Date Concurrently herewith First Named Inventor Bashiri Patent fees are subject to annual revision. **Examiner Name** Not vet assigned **Group Art Unit** Not yet assigned TOTAL AMOUNT OF PAYMENT (\$)1280.00 Attorney Docket No. S63.2-9867 **METHOD OF PAYMENT** FEE CALCULATION (continued) 3. ADDITIONAL FEES 1. The Commissioner is hereby authorized to charge indicated fees to: **Small** Large Deposit Account Number 22-0350 **Entity Entity** Deposit Account Name Vidas, Arrett &Steinkraus Fee Fee Fee Fee Paid Fee **Fee Description** Code (\$) Code (\$) Charge Any Additional Fee Required 105 130 205 65 Surcharge - late filing fee or oath Under 37 CFR 1.16 and 1.17 127 50 227 25 Surcharge - late provisional filing fee or Applicant claims small entity status. cover sheet See 37 CFR 1.27 139 130 139 130 Non-English specification □ Credit any overpayments to Deposit Account No. 22-0350 Deposit Account Name: Vidas, Arrett & Steinkraus 147 2,520 147 2,520 For filing a request for ex parte reexamination 2. Payment Enclosed: 920\* 112 112 9201 Requesting publication of SIR prior to Examiner action 113 1,840\* Requesting publication of SIR after 113 1.840° Check Credit Card Money Order **Examiner action** Other **FEE CALCULATION** 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month # BASIC FILING FEE Large Entity Small Entity 117 890 217 445 Extension for reply withing third month Fee Fee Fee Fee Fee Description 118 Code (\$) Code (\$) 1.390 218 695 Extension for reply within fourth month Fee Paid 128 1,890 228 945 Extension for reply within fifth month 201 101 355 Utility filing fee 710 710 119 310 219 155 Notice of Appeal 320 106 206 160 Design filing fee 107 490 207 245 Plant filing fee 120 310 220 155 Filing of Appeal 108 710 208 355 Reissue filing fee Ŭ 121 270 221 135 Request for oral hearing 114 150 214 75 Provisional filing fee 138 Petition to institute a public use 1.510 138 1.510 Ш SUBTOTAL (1) \$ 710.00 proceeding 140 110 240 55 Petition to revive - unavoidable **EXTRA CLAIM FEES** 141 1.240 241 620 Petition to revive - unintentional Fee from 142 1,240 242 620 Utility issue fee (or reissue) Extra Claims below Fee Paid Total Claims 45 -20\*\* = 25 X = 450.00 143 220 \$18.00 440 243 Design issue fee Independent 144 600 300 Plant issue fee 244 4 - 3\*\* = 1 X \$80.00 = 80.00 Claims 122 130 122 130 Petitions to the Commissioner Multiple Dependent = 0.00123 123 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 126 180 126 180 Submission of Information Disclosure **Fee Description** Fee Fee Code (\$) Code (\$) Recording each patent assignment per \$40.00 581 40 581 40 103 18 203 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 property (times number of properties) 104 270 204 135 Multiple dependent claim, if not 146 710 246 355 Filing a submission after final rejection (37 ČFR § 1.129(a)) 109 80 209 40 \*\*Reissue independent claims For each additional invention to be 149 710 249 355 over original patent examined (37 CFR § 1.129(b)) 110 18 210 \*\* Reissue claims in excess of 20 179 710 279 355 Request for Continued Examination and over original patent (RCE) 169 900 169 900 Request for expedited examination of a SUBTOTAL (2) design application \*\*or number previously paid, if greater, For Reissues, see above Other Fee (specify) (\$)

WARNING: Inf rmati n n this f rm may b c me public. Credit Card inf rmati n sh uld n t be includ d n this f rm. Pr vide credit card inf rmati n and auth rizati n n PTO-2038.

Jam s M. Urzedowski, Reg. No. P-48,596

Signature

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SUBTOTAL (3)

952-563-3000

8/31/2001

Telephone

Date

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## **PATENT**

Docket No.: S63.2-9867

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Bashiri

Title:

**HYBRID BALLOON EXPANDABLE/SELF** 

**EXPANDING STENT** 

Filed:

concurrently herewith

□ 01

\_\_ and assigned Serial No.

JC821 U.S. PTO 09/944668 08/31/01

Box Patent Application Commissioner for Patents Washington, D.C. 20231

## CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

By:

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date:

August 31, 2001

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Registration No. P-48,596

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